

A BRAIDLIKE PRESENTATION OF  $\mathrm{Sp}(n, p)$ 

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## ABSTRACT

For  $n$  even and  $p$  an odd prime a symplectic group  $\mathrm{Sp}(n, p)$  is a quotient of the Artin braid group  $B_{n+1}$ . If  $s_1, \dots, s_n$  are standard generators of  $B_{n+1}$  then the kernel of the corresponding epimorphism is the normal closure of just four elements:  $s_1^p, (s_1 s_2)^6, s_1^{(p+1)/2} s_2^4 s_1^{(p-1)/2} s_2^{-2} s_1^{-1} s_2^2$  and  $(s_1 s_2 s_3)^4 A^{-1} s_1^{-2} A$ , where  $A = s_2 s_3^{-1} s_2^{(p-1)/2} s_4 s_3^2 s_4$ , all of them lying in the subgroup  $B_5$ .  $\mathrm{Sp}(n, p)$  acts on a vector space and the image of the subgroup  $B_n$  of  $B_{n+1}$  in  $\mathrm{Sp}(n, p)$ , denoted  $\mathrm{Sp}(n-1, p)$ , is a stabilizer of one vector. A sequence of inclusions  $\cdots B_{k+1} \cdot B_k \cdots$  induces a sequence of inclusions  $\cdots \mathrm{Sp}(k, p) \cdot \mathrm{Sp}(k-1, p) \cdots$ , which can be used to study some finite-valued invariants of knots and links in the 3-sphere via the Markov theorem.

## Introduction

In [A] Joachim Assion gave a very simple presentation of the symplectic group  $\mathrm{Sp}(n, 3)$  as a quotient of the Artin braid group  $B_{n+1}$ . In this paper we shall describe a similar presentation of  $\mathrm{Sp}(n, p)$  for any prime number  $p > 3$ .

The braid group  $B_n$  was first considered by A. Hurwitz in 1891 and more thoroughly investigated by E. Artin in 1925. Since then it has become an important topic in many fields of mathematics like topology, algebraic geometry, combinatorics and group theory.

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$B_n$  can be described abstractly as a group with generators  $T_1, \dots, T_{n-1}$  and with relations

$$(R1) \quad T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} \quad \text{for } i = 1, \dots, n-2,$$

$$(R2) \quad T_i T_j = T_j T_i \quad \text{for } |i - j| > 1.$$

The group  $B_n$  is known to be residually finite but not many finite quotients of  $B_n$  are known explicitly. If we add the relation

$$(R3) \quad (T_1)^p = 1$$

we get a group  $B_{n,p}$  investigated by Coxeter in [C]. This group is finite if and only if  $1/p + 1/n > 1/2$ . For  $p = 2$  we get the symmetric group  $S_n$ .

If  $p = 3$  then one more relation

$$(R6) \quad (T_1 T_2 T_3)^4 = A^{-1} T_1^2 A,$$

where  $A = T_2 T_3^{-1} T_2^{(p-1/2)} T_4 T_3^2 T_4$ , transforms  $B_{n,p}$  into a finite group  $G_n$ . For  $n$  odd  $G_n$  is isomorphic to  $\text{Sp}(n, 3)$  and for  $n$  even  $G_n$  is isomorphic to the stabilizer of one vector in  $\text{Sp}(n+1, 3)$  where  $\text{Sp}(n+1, 3)$  is considered as a group of linear transformations of an  $(n+1)$ -dimensional vector space over  $\mathbb{Z}/3\mathbb{Z}$ . This is the result of Assion in [A].

Our goal is to prove the following result (for  $p > 3$  a prime number):

**THEOREM 1:** Let  $G_n$  be a group with generators  $T_1, \dots, T_{n-1}$  and relations

$$R1 \quad T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} \quad \text{for } i = 1, \dots, n-2,$$

$$R2 \quad T_i T_j = T_j T_i \quad \text{for } |i - j| > 1,$$

$$R3 \quad (T_1)^p = 1,$$

$$R4 \quad (T_1 T_2)^6 = 1,$$

$$R5 \quad T_1^{(p+1/2)} T_2^4 T_1^{(p-1/2)} = T_2^{-2} T_1 T_2^2,$$

$$R6 \quad (T_1 T_2 T_3)^4 = A^{-1} T_1^2 A, \quad \text{where } A = T_2 T_3^{-1} T_2^{(p-1/2)} T_4 T_3^2 T_4.$$

Then a correspondence  $T_i \rightarrow \bar{T}_i$  extends to a monomorphism of  $G_n$  into  $G_{n+1}$ . For  $n$  even  $G_{n+1}$  is isomorphic to the symplectic group  $\text{Sp}(n, p)$  and the image of  $G_n$  in  $G_{n+1}$  is the stabilizer of one vector.

### 1. Notation and Preliminaries

Let  $p$  be a fixed prime number greater than 3 and let  $F$  be a field with  $p$  elements. Let  $V_k$  be a  $k$ -dimensional vector space over  $F$  with a fixed basis  $\delta_1, \dots, \delta_k$  and with an alternating, bilinear, intersection form given by  $(\delta_i, \delta_{i+1}) = 1$  for  $i = 1, \dots, k-1$  and  $(\delta_i, \delta_j) = 0$  for  $|i-j| > 1$ . There is a natural sequence of embeddings  $V_1 \subset V_2 \subset \dots$  corresponding to  $\{\delta_1\} \subset \{\delta_1, \delta_2\} \subset \dots$ . For  $k$  even the form is non-degenerate and the symplectic group  $\mathrm{Sp}(k, p)$  can be identified with the group  $\mathrm{Sp}(k, F)$  of the linear transformations of  $V_k$  which preserve the intersection form.

Linear transformations act on vectors of  $V_k$  on the right side. For every  $v \in V_k$  we denote by  $\theta_v$  the linear transformation of  $V_k$  defined by  $(u)\theta_v = u - (u, v)v$  (the symplectic transvection with respect to vector  $v$ ).

Transvection with respect to a basis vector  $\delta_i$  will be denoted by  $\theta_i$ . Clearly  $(\theta_v)^p = 1$ , so we can treat exponents of transvections as elements of the field  $F$ . For  $\alpha \in F$  we shall write  $\theta_{i,\alpha}$  for  $(\theta_i)^\alpha$  and  $\theta_{v,\alpha}$  for  $(\theta_v)^\alpha$ . Conjugation in a group will be denoted by  $^{**}$  so  $A^*B = B^{-1}AB$  for any pair of elements  $A, B$  in a group.

Let  $e_{2m+1} = \delta_1 + \delta_3 + \dots + \delta_{2m+1}$ . Clearly  $(e_{2m+1}, \delta_{2m+2}) = 1$  and  $(e_{2m+1}, \delta_i) = 0$  for  $i \neq 2m+2$ .

For  $k$  even define

$$\mathrm{Sp}^\sim(k, F) = \mathrm{Sp}(k, F) = \{h \in \mathrm{Aut}(V_k) \mid ((u)h, (v)h) = (u, v) \text{ for all } u, v \in V_k\}$$

and  $\mathrm{Sp}^\sim(k-1, F) = \mathrm{Stab}_{\mathrm{Sp}(k, F)}(e_{k-1})$ . Then  $\mathrm{Sp}^\sim(k-2, F)$  can be identified with  $\mathrm{Stab}_{\mathrm{Sp}(k, F)}\{e_{k-1}, \delta_k\}$ . If  $h \in \mathrm{Sp}(k-2, F)$  we can extend it to  $h' \in \mathrm{Sp}^\sim(k, F)$  letting  $(e_{k-1})h' = e_{k-1}$  and  $(\delta_k)h' = \delta_k$ .

We want to find a presentation of the group  $\mathrm{Sp}^\sim(k, F)$  for any  $k$ .

We denote by  $G_n$  a group with generators  $T_1, \dots, T_{n-1}$  and relations

$$\text{R1 } (T_{i+1})^*T_i = (T_i)^*(T_{i+1})^{-1} \text{ for } i = 1, \dots, n-2,$$

$$\text{R2 } T_iT_j = T_jT_i \text{ for } |i-j| > 1,$$

$$\text{R3 } (T_1)^p = 1,$$

$$\text{R4 } (T_1T_2)^6 = 1,$$

$$\text{R5 } ((T_2)^4)^*T_1^{(p-1/2)} = (T_1)^*T_2^2,$$

$$\text{R6 } (T_1T_2T_3)^4 = ((T_1)^2)^*T_2T_3^{-1}T_2^{(p-1/2)}T_4T_3^2T_4 \text{ (only for } n > 4).$$

The first two relations R1 and R2 define the classical braid group  $B_n$  so  $G_n$  is a quotient of  $B_n$ .

By relations R1 and R3  $(T_i)^p = 1$  for all  $i$  so, as in the case of the transvections, we can consider exponents of  $T_i$ 's as elements of  $F$ . We shall write  $T_{i,a}$  for  $(T_i)^a$ , e.g.  $T_{1,1/2} = T_{1,(p+1/2)} = (T_1)^{(p+1/2)}$ .

Let  $\phi_n(T_i) = \theta_i, i = 1, \dots, n-1$ . Direct verification shows that  $\phi_n$  maps relations R1-R6 onto true relations in  $\text{Sp}^\sim(n-1, F)$  so  $\phi_n$  extends to a homomorphism  $\phi_n : G_n \rightarrow \text{Sp}^\sim(n-1, F)$ . Theorem 1 of the introduction is equivalent to

**THEOREM 1:**  $\phi_n$  is an isomorphism.

We shall now prove Theorem 1 for  $n = 3$ . We shall use a known presentation of  $\text{Sp}(2, p) = \text{Sp}(2, F)$ .

**1.1 PROPOSITION (J.G. Sunday):** *The group  $\text{Sp}(2, p)$  has a presentation with generators  $S, T$  and relations  $S^p = T^2 = (ST)^3 = (S^{(p+1/2)}TS^4T)^2$  where*

$$S = \begin{bmatrix} -1 & 0 \\ -1 & -1 \end{bmatrix} \quad \text{and} \quad T = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

**1.2 PROPOSITION:**  $\phi_3$  is an isomorphism.

**Proof:** In our standard basis  $\delta_1, \delta_2$  the transvections  $\theta_1, \theta_2$  are represented by matrices

$$\theta_1 = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \quad \text{and} \quad \theta_2 = \begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix}$$

where the action is on the right on row vectors. Let  $D = \theta_1\theta_2\theta_1$ . Then  $T = D$  and  $S = D^2\theta_1$ . So  $\phi_3 : G_3 \rightarrow \text{Sp}(2, p)$  is onto. We shall define the inverse map  $\psi$ . Let  $\psi(S) = (T_1T_2T_1)^2T_1 = A, \psi(T) = T_1T_2T_1 = B$ . We have to check that the relations defined in 1.1 are mapped onto relations in  $G_3$ . We have  $T_1B = BT_2$  by R1 and  $T_iB^2 = B^2T_i$  by R1.  $B^4 = (T_1T_2)^6 = 1$  by R1 and R4. So  $A^p = B^{2p}T_1^p = B^2$  and

$$(AB)^3 = B^2T_1B^3T_1B^3T_1B = T_1T_2T_1B^9 = B^{10} = B^2.$$

Finally

$$\begin{aligned} (A^{(p+1/2)}BA^4B)^2 &= (B^{p+1}T_1^{(p+1/2)}B^9T_1^4B)^2 \\ &= B^{p+1}T_1^{(p+1/2)}B^9T_1^4B^{p+2}T_1^{(p+1/2)}B^9T_1^4B \\ &= T_1^{(p+1/2)}T_2^4T_1^{(p+1/2)}T_2^4 \\ &= (\text{by R5 and R3}) \quad T_2^{-2}T_1T_2^2T_1T_2^4 \\ &= (\text{by R1}) \quad B^2 \end{aligned}$$

as required. ■

**1.3 LEMMA:** If  $u \in V_n, h \in \mathrm{Sp}^\sim(n, F)$  and  $v = (u)h$  then  $\theta_v = (\theta_u)^*h$ .

*Proof:* For any  $w \in V_n$  we have

$$\begin{aligned}(w)h^{-1}\theta_u h &= ((w)h^{-1} - ((w)h^{-1}, u)u)h = w - ((w)h^{-1}, u)(u)h \\ &= w - (w, (u)h)(u)h = w - (w, v)v = (w)\theta_v. \quad \blacksquare\end{aligned}$$

**1.4 COROLLARY:** The following relations are satisfied in  $G_n$  :

$$R7 \quad (T_{i,b})^*T_{i+1,a} = (T_{i+1,ba^2})^*T_{i,-1/a}.$$

*Proof:* It suffices to prove the corollary for  $b = 1$ . Since the pair  $T_i, T_{i+1}$  is conjugate to the pair  $T_1, T_2$  in  $G_n$ , it suffices to prove the corollary for  $i = 1$ . We denote by  $L$  the left side of R7 and by  $R$  the right side. We apply  $\phi_n$  to both sides of R7.  $\phi_n(T_1) = \theta_1$ . Let  $u = (\delta_1)T_{2,a} = \delta_1 - a\delta_2$ . By 1.3,  $\phi_n(L) = \theta_u$ .  $\phi_n(T_2) = \theta_2$ . Let  $w = a\delta_2$ . Then  $\theta_w = \theta_{2,a^2}$ ,

$$(w)\theta_{1,-1/a} = w + (1/a)(w, \delta_1)\delta_1 = -u.$$

Clearly  $\theta_u = \theta_{-u}$  and, by 1.3,  $\phi_n(R) = \theta_u$ . Thus  $\phi_n(R) = \phi_n(L)$ . But by 1.2,  $\phi_n$  restricted to the subgroup of  $G_n$  generated by  $T_1$  and  $T_2$  is a monomorphism, so  $R = L$ . ■

## 2. Relations Between $G_n$ and $G_{n-1}$ for $n$ Even

We fix an even number  $n \geq 4$  and assume that Theorem 1 is true for  $n - 1$ . In this section we shall prove that  $\phi_n$  is an isomorphism and in section 4 we shall prove that  $\phi_{n+1}$  is an isomorphism. Theorem 1 will follow by induction.

Let  $H_{i,k}$  be the subgroup of  $G_n$  generated by  $T_i, T_{i+1}, \dots, T_k, k < n$ . It follows from our assumptions that  $\phi_n$  restricted to  $H_{1,n-2}$  is a monomorphism.

**2.1 LEMMA:** Suppose that  $\phi_m$  restricted to  $H_{1,k}$  is a monomorphism for some  $k < m$  (this is true in particular for  $m = n$  and  $k < n - 1$ ). Let  $A, B \in H_{i,i+k-1}, i + k - 1 < m$ , be such that  $\phi_m(A) = \phi_m(B)$ . Then  $A = B$ .

*Proof:* Let  $D = T_{m-1}T_{m-2} \cdots T_1$ . For  $j < m - 1, T_j^*D = T_{j+1}$  so

$$D^{-1}H_{1,k}D = H_{2,k+1} \quad \text{and} \quad D^{1-i}H_{1,k}D^{i-1} = H_{i,i+k-1}.$$

Let  $\phi_m(D) = d \in \text{Sp}^\sim(m-1, F)$ . Then for  $A \in \mathbf{H}_{1,k}$ ,  $\phi_m(A)^* d = \phi_m(A^* D)$ . It follows that  $\phi_m$  restricted to  $\mathbf{H}_{i,i+k-1}$  is a monomorphism. ■

For  $k$  odd,  $k < n$ , let  $E_k$  denote the transvection with respect to vector  $e_k$ . Let  $\Gamma_1 = T_1$  and for  $k$  odd,  $k > 1$  let

$$\Gamma_k = (\Gamma_{k-2})^* T_{k-1} T_{k,-1} T_{k-1,-1/2} T_{k+1} T_{k,2} T_{k+1}.$$

2.2 LEMMA: (i)  $\phi_n(\Gamma_k) = E_k$  for  $k = 1, 3, \dots, n-1$ .

(ii)  $\phi_n(\Gamma_k^2) = (E_{k-2} \theta_{k-1} \theta_k)^4$  for  $k = 3, \dots, n-1$ .

Proof: (i) follows by induction from Lemma 1.3 and definitions. The action of both sides of (ii) on  $V_n$  can be compared directly. ■

2.3 LEMMA: (i)  $\Gamma_{n-3}$  commutes with  $T_k$  for  $k$  not equal to  $n-2$ .

(ii) Let  $\sigma(T_1) = \Gamma_{n-3}$ ,  $\sigma(T_2) = T_{n-2}$ ,  $\sigma(T_3) = T_{n-1}$ . Then  $\sigma$  extends to a homomorphism  $\sigma: \mathbf{G}_4 \rightarrow \mathbf{G}_n$ .

(iii)  $(\Gamma_{n-3} T_{n-2} T_{n-1})^{4p} = 1$ .

Proof:  $\Gamma_{n-3} \in \mathbf{H}_{1,n-2}$ . By 2.2,  $\phi_n(\Gamma_{n-3}) = E_{n-3}$ . Clearly  $E_{n-3}$  commutes with  $\theta_k$  for  $k < n-2$ , hence  $\Gamma_{n-3}$  commutes with  $T_k$  for  $k < n-2$  by 2.1. Also by 2.2 and 2.1,

$$\Gamma_{n-3}^2 = (\Gamma_{n-5} T_{n-4} T_{n-3})^4 \in \mathbf{H}_{1,n-3}, \quad (\Gamma_{n-3})^p = 1,$$

hence  $\Gamma_{n-3} \in \mathbf{H}_{1,n-3}$ , and  $\Gamma_{n-3}$  commutes with  $T_{n-1}$  by R2. There exists  $h \in \text{Sp}^\sim(n-1, p)$  such that  $(\delta_1)h = e_{n-3}$  and  $(\delta_2)h = \delta_{n-2}$ . Thus, by 1.3, any relation between  $\theta_1$  and  $\theta_2$  corresponds to a relation between  $E_{n-3}$  and  $\theta_{n-2}$ . Since  $\Gamma_{n-3} \in \mathbf{H}_{1,n-3}$  it follows by 2.1, 2.2 and the definitions that  $\sigma$  takes relations R1-R5 onto relations in  $\mathbf{G}_n$ . It remains to prove (iii) for  $n = 4$ .

$$\begin{aligned} (T_1 T_2 T_3)^4 &= (T_1 T_2)^3 T_3 T_2 T_{1,2} T_2 T_3 \quad (\text{by R1 and R2}) \\ &= T_{1,-2} (T_{2,-1} T_{1,-2} T_{2,-1} T_3 T_2 T_{1,2} T_2) T_3 \quad (\text{by R4 and R1}). \end{aligned}$$

Each factor of the last expression has order  $p$  in  $\mathbf{G}_n$ , by R3. If we prove that the factors commute with each other we are done. By R1 and R2 the first factor commutes with the others and we have

$$T_{2,-1} T_{1,-2} T_{2,-1} T_3 T_2 T_{1,2} T_2 T_3 = T_3 T_2 T_{1,2} T_2 T_3 T_{2,-1} T_{1,-2} T_{2,-1}.$$

By R4 and R1 we have  $T_2 T_{1,2} T_2 = T_{1,-4} T_{2,-1} T_{1,-2} T_{2,-1}$ . Since also  $T_1$  commutes with  $T_3$  and with  $T_2 T_{1,2} T_2$  we are done. ■

It follows from Lemma 2.3 that there exists a split epimorphism  $\rho_n : \mathbf{G}_n \rightarrow \mathbf{G}_{n-1} \cong \mathbf{H}_{1,n-2}$  such that  $\rho_n(T_j) = T_j$  for  $j = 1, \dots, n-2$  and  $\rho_n(T_{n-1}) = \Gamma_{n-3}$ . Let  $C_1 = \Gamma_{n-3} T_{n-1,-1}$ ,  $C_i = C_{i-1}^* T_{n-i}$  for  $i = 2, \dots, n-2$ . Clearly  $C_i$  belongs to the kernel of  $\rho_n$ .

2.4 LEMMA: (i)  $\ker \rho_n$  is generated by  $C_1, \dots, C_{n-2}$ , and  $C_i^p = 1$  for  $i = 1, \dots, n-2$ .

(ii) Let  $C$  be the commutator  $C = [C_1, C_2]$ . Then  $C^p = 1$  and the commutant subgroup  $(\ker \rho_n)'$  is cyclic, central, generated by  $C$ .

Proof: (i) Clearly  $\Gamma_{n-3}^p = 1$  and  $\Gamma_{n-3}$  commutes with  $T_{n-1}$ , hence  $C_i^p = 1$  for  $i = 1, \dots, n-2$ . Since  $\rho_n$  splits  $\ker \rho_n$  is generated by the conjugates of  $C_1$  by the elements of  $\mathbf{H}_{1,n-2}$ . It suffices to prove that  $C_i^* T_j$  belongs to the subgroup  $\mathbf{K}$  generated by  $C_1, \dots, C_{n-2}$  for  $j = 1, \dots, n-2$ . It follows from R1, R2, and 2.3 that  $C_i^* T_j = C_i$  for  $j < n-i-1$  or  $j > n-i$ . Also  $C_i^* T_{n-i-1} = C_{i+1}$  for  $i < n-2$ .

We shall prove that  $C_2^* T_{n-2} = C_2 C_1^{-1} C_2$ . We have

$$\begin{aligned} C_2 C_1^{-1} C_2 &= \\ T_{n-2,-1} T_{n-1,-1} \Gamma_{n-3} T_{n-2} \Gamma_{n-3}^{-1} T_{n-1} T_{n-2,-1} \Gamma_{n-3} T_{n-1,-1} T_{n-2} &= \end{aligned}$$

(by R1 and 2.3ii)

$$T_{n-2,-1} T_{n-1,-1} T_{n-2,-1} \Gamma_{n-3} T_{n-2} T_{n-1} T_{n-2,-1} \Gamma_{n-3} T_{n-1,-1} T_{n-2} =$$

(by R1 and 2.3i)

$$T_{n-2,-1} T_{n-1,-1} T_{n-2,-1} T_{n-1,-1} \Gamma_{n-3} T_{n-2} T_{n-1} \Gamma_{n-3} T_{n-1,-1} T_{n-2} =$$

(by R1 and 2.3i)

$$T_{n-2,-2} T_{n-1,-1} T_{n-2,-1} \Gamma_{n-3} T_{n-2} \Gamma_{n-3} T_{n-2} =$$

(by R1 and 2.3ii)

$$T_{n-2,-2} T_{n-1,-1} T_{n-2,-1} T_{n-2} \Gamma_{n-3} T_{n-2,2} =$$

$$T_{n-2,-1}C_2T_{n-2,1} = C_2^*T_{n-2}$$

as required .

We shall prove now by induction that  $C_j^*T_{n-j} = C_jC_{j-1}^{-1}C_j \in K$  for  $j = 2, \dots, n-2$ . Conjugating each term of the last equality by  $T_{n-j-1}T_{n-j}$  we get  $(C_{j+1}^*T_{n-j-1}) = C_{j+1}C_j^{-1}C_{j+1}$ . Starting from the equality  $C_2^*T_{n-2} = C_2C_1^{-1}C_2$  we get the required result by induction .

It remains to conjugate  $C_{n-2}$  by  $T_1$ , but since  $H_{1,n-2}$  is also generated by  $T_2, T_3, \dots, T_{n-2}, \Gamma_{n-3}$  we shall conjugate by  $\Gamma_{n-3}$  instead.

$$C_{n-2}^*\Gamma_{n-3} =$$

(by 2.3)

$$(C_2^*\Gamma_{n-3})^*T_{n-3}T_{n-4} \cdots T_2.$$

$$C_2^*\Gamma_{n-3} = \Gamma_{n-3}^{-1}T_{n-2,-1}T_{n-1,-1}\Gamma_{n-3}T_{n-2}\Gamma_{n-3} =$$

(by 2.1 and 2.3ii)

$$\Gamma_{n-3}^{-1}T_{n-2,-1}T_{n-1,-1}T_{n-2}\Gamma_{n-3}T_{n-2} =$$

(by 2.1)

$$\Gamma_{n-3}^{-1}T_{n-1}T_{n-2,-1}T_{n-1,-1}\Gamma_{n-3}T_{n-2} = C_1^{-1}C^2 \in K.$$

(ii)

$$C = C_1C_2C_1^{-1}C_2^{-1} =$$

$$\Gamma_{n-3}T_{n-1,-1}T_{n-2,-1}T_{n-1,-1}\Gamma_{n-3}T_{n-2}\Gamma_{n-3}^{-1}T_{n-1}T_{n-2,-1}T_{n-1}\Gamma_{n-3}^{-1}T_{n-2} =$$

(by 2.3ii)

$$\Gamma_{n-3}T_{n-1,-1}T_{n-2,-1}T_{n-1,-1}T_{n-2,-1}\Gamma_{n-3}T_{n-2}T_{n-1}T_{n-2,-1}T_{n-1}\Gamma_{n-3}^{-1}T_{n-2} =$$

(by R1)

$$\Gamma_{n-3}T_{n-1,-1}T_{n-2,-1}T_{n-1,-1}T_{n-2,-1}\Gamma_{n-3}T_{n-1,-1}T_{n-2}T_{n-1}T_{n-1}\Gamma_{n-3}^{-1}T_{n-2} =$$

(by 2.3i)

$$\Gamma_{n-3}T_{n-1,-1}T_{n-2,-1}T_{n-1,-1}T_{n-2,-1}T_{n-1,-1}\Gamma_{n-3}T_{n-2}\Gamma_{n-3}^{-1}T_{n-1}T_{n-1}T_{n-2} =$$



(by R1 and 2.3ii)

$$\Gamma_{n-3}(T_{n-1,-1}T_{n-2,-1})^3\Gamma_{n-3}T_{n-2}T_{n-1}T_{n-1}T_{n-2}.$$

By R4 and R1 the expression in the bracket is equal to  $(T_{n-2}T_{n-1})^3$ , so by R1 and 2.3 we have  $C = (\Gamma_{n-3}T_{n-2}T_{n-1})^4$ . By 2.3iii,  $C^p = 1$ .

We shall prove that  $C$  lies in the center of  $G_n$ . By R1, R2, and 2.3,  $C$  commutes with  $T_i$  for  $i \neq n-3$ . We have seen before that

$$\begin{aligned} C &= \Gamma_{n-3}(T_{n-1}T_{n-2})^{-3}\Gamma_{n-3}T_{n-2}T_{n-1}T_{n-1}T_{n-2} \\ &= \Gamma_{n-3}T_{n-1,-2}(\Gamma_{n-3}^*T_{n-2}T_{n-1}T_{n-1}T_{n-2}). \end{aligned}$$

Since  $T_{n-3}$  commutes with  $\Gamma_{n-3}$  and with  $T_{n-1}$  it remains to prove that it commutes with  $\Gamma_{n-3}^*T_{n-2}T_{n-1,2}T_{n-2}$  or that

$$A = \Gamma_{n-3}^*T_{n-2}T_{n-1,2}T_{n-2}T_{n-3}T_{n-2,-1}T_{n-1,-2}T_{n-2,-1}$$

is equal to  $\Gamma_{n-3}$ . By R1 and R2

$$A = \Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-1,2}T_{n-2}T_{n-1,-2}T_{n-3}T_{n-2,-1} =$$

(by R7)

$$\Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2}T_{n-1,4}T_{n-2,1/2}T_{n-3}T_{n-2,-1}.$$

Let  $u = (e_{n-3})T_{n-2}T_{n-3,-1}T_{n-2,-1/2} = e_{n-5} + 2\delta_{n-3} = (e_{n-5})T_{n-4}T_{n-3,2}T_{n-4}$ . Then by 1.3,

$$\phi_n(\Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2}) = \theta_u = \phi_n(\Gamma_{n-5}^*T_{n-4}T_{n-3,2}T_{n-4}).$$

By 2.1 we have  $\Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2} = \Gamma_{n-5}^*T_{n-4}T_{n-3,2}T_{n-4}$  commutes with  $T_{n-1}$ . Therefore

$$\Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2}T_{n-1,4} = \Gamma_{n-3}^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2}$$

and  $A = \Gamma_{n-3}$ . So  $C$  belongs to the center of  $G_n$ . Now for  $i < j$  we have

$$[C_i, C_j] = [C_1, C_2]^*T_{n-3}T_{n-4} \cdots T_{n-j}T_{n-2}T_{n-3} \cdots T_{n-i} = C.$$

Therefore the commutant subgroup of  $\ker \rho_n$  is the cyclic subgroup of order  $p$  generated by  $C$ . This concludes the proof of Lemma 2.4. ■

We shall define a map  $\rho' : \text{Sp}^\sim(n-1, F) \rightarrow \text{Sp}^\sim(n-2, F)$ . First let  $p_{n-1} : V_{n-1} \rightarrow V_{n-2}$  be a linear map such that  $(\delta_i)p_{n-1} = \delta_i$  for  $i < n-1$  and  $(\delta_{n-1})p_{n-1} = -e_{n-3}$  so  $\ker p_{n-1}$  is spanned by  $e_{n-1}$ . Now for  $u \in V_{n-2}$  and  $h \in \text{Sp}^\sim(n-1, F)$  let  $(u)\rho'(h) = ((u)h)p_{n-1}$ . It is easy to check that  $\rho'$  is a homomorphism and we have a commutative diagram with exact rows:

$$\begin{array}{ccccccc} 1 & \rightarrow & \ker \rho_n & \rightarrow & \mathbf{G}_n & \xrightarrow{\rho_n} & \mathbf{G}_{n-1} \rightarrow 1 \\ & & \phi_n|_{\ker \rho_n} \downarrow & & \phi_n \downarrow & & \phi_{n-1} \downarrow \\ 1 & \rightarrow & \ker \rho' & \rightarrow & \text{Sp}^\sim(n-1, F) & \rightarrow & \text{Sp}^\sim(n-2, F) \rightarrow 1 \end{array}$$

We want to prove that  $\phi_n$  is an isomorphism. By the induction hypothesis  $\phi_{n-1}$  is an isomorphism, so it suffices to prove that  $\phi_n|_{\ker \rho_n}$  is an isomorphism. We shall determine  $\ker \rho'$ . If  $h \in \ker \rho'$  then  $(\delta_j)h = \delta_j + a_j e_{n-1}$  for  $j = 1, \dots, n-1$ . Also  $(e_{n-1})h = e_{n-1}$ . It follows that the correspondence  $h \rightarrow (a_1, \dots, a_{n-2})$  defines a homomorphism, say  $\mu$ , of  $\ker \rho'$  into an abelian group  $F^{n-2}$ . Let  $h_i = \phi_n(C_i)$  for  $i = 1, \dots, n-2$ . Then  $h_i \in \ker \rho'$ .  $(\delta_j)h_1 = \delta_j$  for  $j < n-2$  and  $(\delta_{n-2})h_1 = \delta_{n-2} + e_{n-1}$ . It follows that  $(\delta_j)h_i = \delta_j$  for  $j < n-i-1$  and  $(\delta_{n-i-1})h_i = \delta_{n-i-1} + e_{n-1}$ . Thus  $\mu(h_i), i = 1, \dots, n-2$  form a basis of  $F^{n-2}$  and  $\mu$  is onto. If  $h$  belongs to  $\ker \mu$  then  $(\delta_j)h = \delta_j$  for  $j = 1, \dots, n-1$ ,  $(\delta_n)h = \delta_n + a e_{n-1}$  so  $\ker \mu$  is a cyclic group of order  $p$ , or a trivial group. But  $(\delta_n)\phi_n(C) = \delta_n + 2e_{n-1}$  and  $\phi_n(C) \in \ker \mu$ . So  $\ker \mu$  is not trivial,  $\ker \rho'$  has  $p^{n-1}$  elements and  $\phi_n|_{\ker \rho_n}$  is onto. By Lemma 2.4  $\ker \rho_n$  has at most  $p^{n-1}$  elements, so  $\phi_n|_{\ker \rho_n}$  is an isomorphism. We have proven the following:

**2.6 PROPOSITION:**  $\phi_n$  is an isomorphism.

### 3. Some Properties of $\mathbf{G}_{n+1}$

We assume that  $n > 2$  is a fixed even number and that  $\phi_n$  is an isomorphism. Thus  $\phi_{n+1}$  restricted to  $\mathbf{H}_{1,n-1}$  is a monomorphism.

We let  $S = (T_n)^* T_{n-1, -1} T_{n-2, -2} T_{n-1, -1} \in \mathbf{G}_{n+1}$  and we let  $\mathbf{H}$  be the subgroup of  $\mathbf{G}_{n+1}$  generated by  $T_1, \dots, T_{n-2}, S, T_n$ . This section will be devoted to a proof of the following

**3.1 PROPOSITION:** Let  $W \in \mathbf{G}_{n+1}$  be such that  $(\delta_n)W = \delta_n$ . Then  $W \in \mathbf{H}$ .

An element  $W$  of  $\mathbf{G}_{n+1}$  can be written in a form  $W \equiv \prod_{i=1}^s T_{j(i), a(i)}$  where by " $\equiv$ " we mean equality in a free group while  $W = W_1$  means equality in the group

$\mathbf{G}_{n+1}$ . We shall say that a word  $W = \prod_{i=1}^s T_{j(i), a(i)}$  is reduced if  $j(i) \neq j(i+1)$  and  $\alpha(i) \neq 0$  for  $i = 1, \dots, s$ . If  $W$  is reduced then the length of  $W$  is  $\ell(W) = s$ . We shall say that  $W$  represents a vector  $u \in V_n$  if  $(\delta_n)W = u$ . We want to prove by induction on  $\ell(W)$  that if  $W$  represents  $\delta_n$  then  $W \in \mathbf{H}$ . Applying consecutive terms of  $W$  to  $\delta_n$  we get a sequence of vectors. We shall prove Proposition 3.1 by induction on the "complexity" of these vectors. If  $v = \sum_{i=1}^n a_i \delta_i \in V_n$  we let  $a_0 = 0 = a_{n+1}$  to unify notation.

**3.2 DEFINITION.** Let  $0 \neq v = \sum_{i=1}^n a_i \delta_i \in V_n$ . Let  $a_r$  be the first non-zero coordinate of  $v$ . Then  $r(v) = r$ . Let  $e(v) = 1$  if  $a_n = 0$  or  $a_n = 1$  and  $e(v) = 2$  otherwise. A coordinate  $a_i$  is **passive** if  $a_i = 0$  and  $a_{i-1} = a_{i+1} \neq 0$ . Let  $P$  be the number of the passive coordinates of  $v$  and let  $N$  be the number of the non-zero coordinates of  $v$ . Vector  $v$  is **special** if

$$v \neq \delta_n \quad \text{and} \quad v = b(\delta_{n-2k} + \delta_{n-2k+2} + \dots + \delta_n).$$

The **complexity** of  $v$  equals  $c(v) = 2(n-r) + e(v) + 1$  if  $v$  is special and  $c(v) = 2(n-r) + e(v) + P - N$  if  $v$  is not special. ■

**3.3 DEFINITION.** Let  $0 \neq v = \sum_{i=1}^n a_i \delta_i \in V_n$ . A coordinate  $a_j$  is **reducing** if  $a_{j+1} \neq a_{j-1}$  and if, after we replace  $a_j$  by a suitable  $b_j$ , the complexity of  $v$  decreases.  $T_{j,\alpha}$  **reduces**  $v$  if  $c((v)T_{j,\alpha}) < c(v)$ .

If  $a_j$  is a reducing coordinate of  $v$  then  $T_{j,\alpha}$  reduces  $v$  for a suitable choice of  $\alpha$  because  $(v)T_{j,\alpha} = v + \alpha(a_{j+1} - a_{j-1})\delta_j$ , so we can change  $a_j$  into an arbitrary  $b_j$ .

**3.4 LEMMA:** Let  $0 \neq v = \sum_{i=1}^n a_i \delta_i \in V_n$ . Let  $a_r$  be the first non-zero coordinate of  $v$ . Let  $a_j$  be a coordinate of  $v$  such that  $a_{j+1} \neq a_{j-1}$ . Let  $w = (v)T_{j,\alpha}$ ,  $\alpha \neq 0$ , and let  $b_j = a_j + \alpha(a_{j+1} - a_{j-1})$  be the  $j$ -coordinate of  $w$ . Then

(i)  $|c(w) - c(v)| \leq 1$ .

(ii)  $a_j$  is a reducing coordinate of  $v$  if and only if one of the following is satisfied:

(a)  $j = n, a_{n-1} \neq 0, a_n \neq 1$ .  $T_{j,\alpha}$  reduces  $v$  if  $b_n = 1$ , i.e. if  $\alpha = (1 - a_j)/(a_{j+1} - a_{j-1})$ .

(b)  $r < j < n, a_j = 0, a_{j+1} \neq a_{j-1}$ .  $T_{j,\alpha}$  reduces  $v$  if it does not introduce a new passive coordinate, i.e.  $T_{j,\alpha}$  does not reduce  $v$  for at most one value of  $\alpha$ .

(c)  $r < j < n, a_j \neq 0, a_j$  has a passive neighbour and a nonzero neighbour.  $T_{j,\alpha}$  reduces  $v$  whenever  $b_j \neq 0$ , i.e.  $T_{j,\alpha}$  does not reduce  $v$  for exactly one value of  $\alpha, \alpha = -a_j/(a_{j+1} - a_{j-1})$ .

(d)  $j = r, a_{r+1} \neq 0$  and  $v - a_r \delta_r$  is not special.  $T_{j,\alpha}$  reduces  $v$  when  $b_j = 0$ , i.e.  $\alpha = -a_j/(a_{j+1} - a_{j-1})$ .

(e)  $j = r - 1$  and  $v$  is special.  $T_{j,\alpha}$  reduces  $v$  for all  $\alpha$ .

**Proof:** Follows from the definitions. ■

**3.5 LEMMA:** Let  $0 \neq v = \sum_{i=1}^n a_i \delta_i \in V_n$ . Then

(i)  $c(v) \geq 0$ .  $c(v) = 0$  if and only if  $v = \delta_n$ .  $v$  has a reducing coordinate.

(ii) If  $a_t, a_{t+1} \neq 0$  then there exists a reducing coordinate  $a_m, m \leq t$ , unless  $t = r(v)$  and  $v - a_r \delta_r$  is special.

(iii) Suppose  $a_j$  is a reducing coordinate of  $v$  satisfying 3.4ii(b) or 3.4ii(c). Let  $|k - j| = 1$ , let  $w = (v)T_{k,\beta}$ , and let  $b_k$  be the  $k$ -coordinate of  $w$ . Then  $a_j$  is a reducing coordinate of  $w$  and satisfies again 3.4ii(b) or 3.4ii(c) with the exception of the following cases:

(a)  $a_j = 0, a_k \neq 0$  and the neighbours of  $a_j$  in  $w$  are equal to zero. Then  $N$  decreases so  $c(w) > c(v)$ .

(b)  $a_j = 0, a_k \neq 0$  and the neighbours of  $a_j$  in  $w$  are equal but not zero. Then  $a_j$  becomes passive so  $P$  increases and  $c(w) > c(v)$ .

(c)  $a_j = 0, a_k = 0$  and the neighbours of  $a_j$  in  $w$  are equal. Then  $a_j$  becomes passive so  $P$  increases by 1 and  $N$  increases by 1,  $c(w) = c(v)$ . Also  $a_k$  satisfies 3.4ii(b) and is reducing.

(d)  $a_j \neq 0, a_k \neq 0, n > k > r$  and  $b_k = 0$ . Then, if  $a_k$  has a passive neighbour,  $c(w) = c(v)$  and  $a_k$  is reducing. If  $a_k$  has no passive neighbour then  $c(w) > c(v)$ .

(e)  $a_j \neq 0, a_k \neq 0, a_k \neq 1, k = n, b_k = 0$ . Then  $a_k$  is reducing and  $c(w) = c(v)$ .

(f)  $a_j \neq 0, a_k = 1, k = n, b_k = 0$ . Then  $c(w) > c(v)$ .

(g)  $a_j \neq 0, a_k \neq 0, k = r = j - 1, b_k = 0$  and  $w$  is special. Then  $c(w) > c(v)$ .

(h)  $a_j \neq 0, a_k \neq 0, k = r = j - 1, b_k = 0$  and  $w$  is not special.

**Proof:** Part (i) follows from the definitions. Suppose  $a_{t-i-1} = 0, a_{t-i} \neq 0, a_{t-i+1} \neq 0, \dots, a_t \neq 0, a_{t+1} \neq 0$ . If  $a_{t-i-1}$  is passive then  $a_{t-i}$  is reducing. If  $a_{t-i-1}$  is not passive and  $t - i \neq r$  then  $a_{t-i-1}$  is reducing. If  $t - i = r$  then  $a_{t-i}$  is reducing by 3.3iii(b) unless  $t = r$  and  $v - a_r \delta_r$  is special. This proves part (ii). Part (iii) follows from definitions. ■

**3.6 LEMMA-DEFINITION:** Let  $0 \neq v = \sum_{i=1}^n a_i \delta_i \in V_n$  and let  $r(v) = r$ . There exists a reduced word  $W = \prod_{i=1}^c T_{j(i), \alpha(i)}$  of length  $c(v)$  representing  $v$ . There is no shorter word representing  $v$ , so  $W$  is called a **minimal representative** of  $v$ .

If  $T_{j,\alpha}$  reduces  $v$  then there is a minimal representative of  $v$  with the last term  $T_{j,-\alpha}$ . A minimal representative  $W$  satisfies the following:

For all  $i$ ,  $j(i) > r - 1$  if  $v$  is not special and  $j(i) > r - 2$  if  $v$  is special.  $j(i) = n$  for at most one value of  $i$  and  $j(i) \neq n$  if  $a_n = 1$ .

If  $m < n$  then  $j(i) = m$  for at most two values of  $i$ .

If  $a_m \neq 0$  is not reducing and has a non-zero neighbour then  $j(i) = m$  for at most one value of  $i$ .

*Proof:* If  $T_{j,\alpha}$  reduces  $v$  then  $(v)T_{j,\alpha}$  has lower complexity, so the existence of  $W$  with the last term  $T_{j,-\alpha}$  follows from 3.5i by induction on  $c(v)$ . There is no shorter representative of  $v$  by 3.4i. If  $v$  is not special then it will never become special in the reduction process, so the  $j$ -coordinate will never become reducing for  $j < r$  and  $j(i) > r - 1$  for all  $i$ . If  $v$  is special then  $(v)T_{r-1,\alpha}$  is not special and  $j(i) > r - 2$ . If  $a_n = 1$  then  $a_n$  is not reducing and the  $n$ -coordinate will never become reducing in the reduction process, by 3.4ii(a), so  $j(i) \neq n$ . Let  $W_k = \Pi_{i=1}^k T_{j(i),\alpha(i)}$  and let  $v_k = (\delta_n)W_k$ . Then  $c(v_{k+1}) = c(v_k) + 1$  for all  $k$ . If  $j(t) = n$  then the  $n$ -coordinate of  $v_t$  is not 1 and a later change of the  $n$ -coordinate would not increase the complexity so  $j(i) \neq n$  for  $i > t$ . Let  $m < n$  and let  $t_1$  be the smallest value such that  $j(t_1) = m$ . Then the  $m$ -coordinate of  $v_{t_1}$  is not zero. If  $t_2$  is the next such value then the  $m$ -coordinate of  $v_{t_2}$  is reducing. Either  $v_{t_2}$  is special and we cannot increase its complexity by one move or  $m > r(v_{t_2})$ . The  $m$ -coordinate is zero or it has a passive neighbour. If we increase complexity getting vector  $v_s$ , the neighbour remains passive and  $m > r(v_s)$ . A later change of the  $m$ -coordinate would not increase the complexity, so  $j(i) \neq m$  for  $i > t_2$ . If the  $m$ -coordinate of  $v_{t_2}$  is not zero it has a passive neighbour, and the neighbour will remain passive in  $v$ . If  $a_m$  is not reducing in  $v$  then its other neighbour must be zero, so  $a_m$  has no non-zero neighbour. This completes the proof of the lemma. ■

We shall fix now a reduced word  $W = \Pi_{i=1}^s T_{j(i),\alpha(i)}$  representing  $\delta_n$ . Let  $W_k = \Pi_{i=1}^k T_{j(i),\alpha(i)}$  and let  $v_k = (\delta_n)W_k$ . Let  $q$  be the smallest index such that  $c(v_q) \geq c(v_{q+1})$ , i.e.  $c(v_k) < k$  for  $k > q$ . We shall prove that  $W \in H$  by induction on  $s = \ell(W)$  and for a given  $s$  by induction on  $q$ . If  $s = 0$  then  $W = 1 \in H$ .

If  $q = 0$  then  $c(\delta_n) \geq c(v_1)$ , hence  $v_1 = \delta_n, j(1) \neq n - 1, T_{j(1),\alpha(1)} \in H$ , and  $\Pi_{i=2}^s T_{j(i),\alpha(i)}$  also represents  $\delta_n$ . Therefore  $W \in H$  by induction on  $s$ .

Let  $v_q = \sum_{i=1}^n a_i \delta_i$ . Let  $a_r$  be the first nonzero coordinate of  $v_q$ .

Let  $j(q) = j, \alpha(q) = \alpha, j(q+1) = k, \alpha(q+1) = \beta$ . Then  $a_j$  is a reducing coordinate of  $v_q$ , since  $c(v_q) > c(v_{q-1})$  and  $v_{q-1} = (v_{q-1})T_{j,-\alpha}$ .

**3.7 LEMMA:** (i) If  $T_{j,\gamma}$  reduces  $v_q$  and  $T_{m,\delta}$  reduces  $v_{q+1}$  and  $V$  is any minimal representative of  $(v_q)T_{j,\gamma}$  and  $U$  is any minimal representative of  $(v_{q+1})T_{m,\delta}$ , then we may assume  $W \equiv VT_{j,\gamma}T_{k,\beta}T_{m,\delta}U^{-1}$  and  $\ell(W) < 2q+2$ . If  $W' \equiv \Pi_{i=1}^s T_{j'(i),\alpha'(i)}$  and  $W'$  represents  $\delta_n$  and

$$\ell(W') < 2q+2 \quad \text{and} \quad v'_k = (\delta_n)\Pi_{i=1}^k T_{j'(i),\alpha'(i)}$$

then  $c(v'_{q+1}) < q+1$ .

(ii) If  $a_k$  is reducing then we may assume that  $T_{k,\beta}$  reduces  $v_q$ . Also we may switch  $j$  and  $k$  and assume that  $W = W' \equiv \Pi_{i=1}^s T_{j'(i),\alpha'(i)}$  with  $v'_q = v_q, j'(q) = k, j'(q+1) = j$ .

(iii) If  $a_k$  is not reducing and  $V$  is any minimal representative of  $v_q$ , then we can replace  $W_q$  by  $V$  in  $W$ .

(iv) If  $|j-k| = 1$  and there exists  $\gamma$  such that  $T_{j,\gamma}$  reduces  $v_q$  and  $v_{q+1}$ , then  $W = W'_{q-1}T_{k,1/\gamma}T_{j,\beta\gamma}T_{k,-1/\gamma}U \equiv W', \ell(W') = \ell(W), v'_{q-1} = (v_q)T_{j,\gamma}, v'_q = (v'_{q-1})T_{k,1/\gamma}$ . If  $c(v'_q) \leq c(v_{q-1})$  then  $W \in H$ . In particular if  $v_q = v_{q+1}$  then  $T_{j,-\alpha}$  reduces  $v_{q+1}$ , so

$$W = W_{q-1}T_{k,1-1/\alpha}T_{j,\beta\alpha}T_{k,1/\alpha}(W_{q-1})^{-1} \quad \text{and} \quad v'_q = (v_{q-1})T_{k,-1/\alpha}.$$

If  $c(v'_q) \leq c(v_{q-1})$  then  $W \in H$ .

**Proof:** (i) Let  $W \equiv W_{q-1}T_{j,\alpha}T_{k,\beta}U_1$ . Let  $V$  be any minimal representative of  $(v_q)T_{j,\gamma}$  and let  $U$  be any minimal representative of  $(v_{q+1})T_{m,\delta}$ . Then

$$W \equiv (W_{q-1}T_{j,\alpha-\gamma}V^{-1})VT_{j,\gamma}T_{k,\beta}T_{m,\delta}U^{-1}(UT_{m,-\delta}U_1).$$

Brackets represent  $\delta_n$  and have length smaller than  $\ell(W)$ . By induction it suffices to prove that  $W \in H$  for  $W \equiv VT_{j,\gamma}T_{k,\beta}T_{m,\delta}U^{-1}$  and then  $\ell(W) < 2q+2$ . The second statement of (i) is obvious from definitions.

(ii) If  $T_{k,\epsilon}$  reduces  $v_q$  and  $V$  is a minimal representative of  $(v_q)T_{k,\epsilon}$ , then  $W = W_qT_{k,\beta}U = (W_qT_{k,\epsilon}V^{-1})(VT_{k,\beta-\epsilon}U)$ , both brackets represent  $\delta_n$  and the second bracket has length smaller than  $\ell(W)$  since  $\ell(V) = q-1 \leq \ell(U)$ . So we may assume  $T_{k,\beta} = T_{k,\epsilon}$  reduces  $v_q$ . Now, by (i),  $W = W_{q-1}T_{j,\alpha}T_{k,\beta}U$

where  $U^{-1}T_{k,-\beta}$  is a minimal representative of  $v_q$ . It suffices to prove that  $W' = W^{-1} = U^{-1}T_{k,-\beta}T_{j,\alpha}W_{q-1} \in \mathbf{H}$  which proves (ii).

(iii) If  $a_k$  is not reducing and  $V$  is any minimal representative of  $v_q$ , then  $W = W_q T_{k,\beta} U = (W_q V^{-1})(V T_{k,\beta} U)$ . Both brackets represent  $\delta_n$  and  $\ell(W_q V^{-1}) = 2q < 2q + 1 = \ell(W)$  so we may assume  $W = V T_{k,\beta} U$ .

(iv) By (i) we may assume  $W = W'_{q-1} T_{j,-\gamma} T_{k,\beta} T_{j,\gamma} U$  with  $v'_{q-1} = (v_q) T_{j,\gamma}$ . Now by R7,  $W = W'_{q-1} T_{k,1/\gamma} T_{j,\beta\gamma^2} T_{k,-1/\gamma} U$  as required.

If  $c(v'_q) \leq c(v_{q-1})$  then  $W \in \mathbf{H}$  by induction on  $q$ . ■

**3.8 LEMMA:** *If either  $v_q = v_{q+1}$  or  $|k - j| > 1$ , then  $W = W' \equiv \prod_{i=1}^s T_{j'(i), \alpha'(i)}$  and  $j'(i) = j$  for at most one value of  $i \leq q$ . If also  $j = n$  then  $j'(i) \neq n$  for all  $i \leq q$ .*

*If  $|k - j| = 1$  and  $r < j < n$ , and  $r < k < n$  or  $k = n$  and  $a_n \neq 1$ , then  $T_{j,\gamma}$  does not reduce  $v_q$  or  $v_{q+1}$  for at most two values of  $\gamma$ . In particular  $W = W' \equiv \prod_{i=1}^s T_{j'(i), \alpha'(i)}$  and  $j'(i) = j$  for at most one value of  $i \leq q$ .*

*Proof:* If  $|k - j| > 1$  then, by R2, we can replace  $T_{j,\alpha} T_{k,\beta}$  by  $T_{k,\beta} T_{j,\alpha}$  in  $W$  getting  $W'$ . Now  $j'(i) = j$  a smaller number of times than  $j(i) = j$  for  $i \leq q$ . If  $|j - k| = 1$  and  $v_q = v_{q+1}$  then, by 3.7iv,  $W'_q = W_{q-1} T_{k,-1/\alpha}$  and again  $j'(i) = j$  a smaller number of times than  $j(i) = j$  for  $i \leq q$ . Suppose now  $|k - j| = 1$  and  $v_q \neq v_{q+1}$ .  $a_j$  is reducing and  $n > j > r$ . So  $a_j$  satisfies 3.4iib or 3.4iic. We have  $c(v_q) \geq c(v_{q+1})$  and, by 3.7ii, if  $a_k$  is reducing then  $c(v_q) > c(v_{q+1})$ , therefore by 3.5  $a_j$  is a reducing coordinate of  $v_{q+1}$ . By 3.4iib and 3.4iic  $T_{j,\gamma}$  does not reduce  $v_q$  or  $v_{q+1}$  for at most two values of  $\gamma$ . Since  $p > 3$  there exists  $\gamma$  such that  $T_{j,\gamma}$  reduces  $v_q$  and  $v_{q+1}$  and then, by 3.7iv,

$$W = W' \equiv \prod_{i=1}^s T_{j'(i), \alpha'(i)}, \quad j'(q) = k \quad \text{and} \quad v'_{q-1} = (v_q) T_{j,\gamma}.$$

Since  $n > j > r$  the  $j$ -coordinate of  $v'_{q-1}$  is not reducing, not zero, and has a non-zero neighbour. Thus  $j'(i) = j$  for at most one value of  $i < q + 1$ , by 3.6. ■

**3.9 LEMMA:** (i) If  $W \in \mathbf{H}_{n-2,n}$  then  $W \in \mathbf{H}$ .

(ii) If  $W \in \mathbf{H}_{1,n-1}$  then  $W \in \mathbf{H}$ .

(iii) If  $v_q = \beta(\delta_r + \delta_{r+2} + \dots + \delta_{n-2}) + \delta_n$ ,  $r$  even,  $\beta \neq 1$ , then  $W \in \mathbf{H}$ .

*Proof:* (i)  $(\delta_i)W = \delta_i$  for  $i < n-3$  and  $i = n$ .  $(\delta_{n-3})W = \delta_{n-3} + a\delta_{n-2} + b\delta_{n-1} + c\delta_n$ . Since  $((\delta_{n-3})W, \delta_n) = 0$  we have  $b = 0$ . If also  $c = 0$  then  $(\delta_{n-3})WT_{n-2,a} =$

$\delta_{n-3}$ . Now  $(\delta_{n-1})WT_{n-2,a} = \alpha\delta_{n-2} + \beta\delta_{n-1} + \gamma\delta_n$ . The intersection form is preserved so  $\alpha = 0, \beta = 1$ .  $(\delta_{n-1})WT_{n-2,\alpha}T_{n,\gamma} = \delta_{n-1}$ . We must also have  $(\delta_{n-2})WT_{n-2,\alpha}T_{n,\gamma} = \delta_{n-2}$ . It follows that  $\phi_{n+1}(WT_{n-2,a}T_{n,\gamma}) = 1$  hence  $W = T_{n,-\gamma}T_{n-2,-\alpha} \in \mathbf{H}$ , by 2.1.

If  $c \neq 0$  then  $(\delta_{n-3})WT_{n-2,a-2c} = \delta_{n-3} + 2c\delta_{n-2} + c\delta_n$ . Let  $u = 2\delta_{n-2} + \delta_n = (\delta_n)T_{n-1,-1}T_{n-2,-2}T_{n-1,-1}$ . Then, by 1.3,  $\phi_{n+1}(S) = T_u$  hence

$$(\delta_{n-3})WT_{n-2,a-2c}S^{c/2} = \delta_{n-3}.$$

We continue as in the case  $c = 0$  and get  $\phi_{n+1}(WT_{n-2,a-2c}S^{c/2}T_{n,\gamma}) = 1$ , so by 2.1,  $W = T_{n,-\gamma}S^{-c/2}T_{n-2,2c-a} \in \mathbf{H}$ .

(ii) Let  $A = \phi_{n+1}(W)$ . The subspace  $V_{n-1}$  is  $A$ -invariant and  $(\delta_n)A = \delta_n$ . For  $i < n-1$ ,  $(\delta_i)A \in V_{n-1}$  and  $((\delta_i)A, \delta_n) = 0$ , hence  $V_{n-2}$  is  $A$ -invariant. There exists  $B \in \text{Sp}(n-2, F)$  such that  $A$  restricted to  $V_{n-2}$  equals  $B$ . Then  $(\delta_i)AB^{-1} = \delta_i$  for  $i \neq n-1$ . Also  $(\delta_{n-1})AB^{-1} \in V_{n-1}$ . Since the intersection form is preserved it follows that  $(\delta_{n-1})AB^{-1} = \delta_{n-1}$  and  $A = B$ . Since  $\phi_{n-1}$  is an isomorphism there exists  $W_1 \in \mathbf{H}_{1,n-2}$  such that  $\phi_{n-1}(W_1) = B = A$ . Now, by 2.1,  $W = W_1 \in \mathbf{H}_{1,n-2} \subset \mathbf{H}$ .

(iii) Let  $U$  be a minimal representative of  $v_q$ . Since  $a_n = 1, U \in \mathbf{H}_{r,n-1}$ , by 3.6. If  $k \neq n$  then  $v_{q+1}$  also has the last coordinate equal to 1 and, by 3.6 and 3.7i,  $W \in \mathbf{H}_{1,n-1}$  so  $W \in \mathbf{H}$ , by part (ii). We may assume  $k = n$ . Then  $v_q = v_{q+1}$  and, by 3.7i,  $W = UT_{n,\beta}U^{-1}$ . Since  $a_n$  is not reducing we can choose for  $U$  any minimal representative of  $v_q$ , by 3.7ii, e.g.

$$\begin{aligned} U^{-1} = & T_{n-1,\beta/1-\beta}T_{n-2}T_{n-3} \cdots \\ & T_{r+1}T_{r,-1}T_{r+1,-1/2}T_{r+2,-2}T_{r+3,-1/2} \cdots \\ & T_{n-2,-2}T_{n-1,-\beta}. \end{aligned}$$

Then  $(\delta_n)U^{-1} = \alpha(\delta_r + \delta_{r+2} + \dots + \delta_{n-2}) + \delta_n = w$ , where  $\alpha = \beta/\beta - 1$ . By 1.3,  $\phi_{n+1}(W) = \theta_{w,\beta}$ . Also  $W \in \mathbf{H}_{2,n}$ .

Let  $u_1 = \alpha\delta_{n-2} + \delta_n, u_2 = \alpha(\delta_{r+1} + \delta_{r+3} + \dots + \delta_{n-3}), u_3 = w + u_2, u_4 = w - u_2$ . Let  $U_1$  be a minimal representative of  $u_1$ . By 3.6,  $U_1 \in \mathbf{H}_{n-2,n}$ . Let  $A_1 = (T_n)^*U_1$ . Then  $\phi_{n+1}(A_1) = \theta_{u_1}$ , by 1.3, hence  $(\delta_n)A_1 = \delta_n$  and  $A_1 \in \mathbf{H}$  by (i).  $u_3 = (u_1)T_{n-3}T_{n-4} \cdots T_r, u_4 = (u_1)T_{n-3,-1}T_{n-4,-1} \cdots T_{r,-1}$ . Applying Lemma 3.6 with  $n$  replaced by  $n-2$  we find  $U_2 \in \mathbf{H}_{r,n-2}$  such that  $(\delta_{n-2})U_2 = u_2$ . Let  $A_2 = (T_{n-2})^*U_2, A_3 = (A_1)T_{n-3}T_{n-4} \cdots T_r, A_4 = (A_1)T_{n-3,-1}T_{n-4,-1} \cdots T_{r,-1}$ .



Then  $A_i \in \mathbf{H}$ ,  $A_i \in \mathbf{H}_{2,n}$ ,  $\phi_{n+1}(A_i) = \theta_{u_i}$  for  $i = 1, 2, 3, 4$ . Also  $\theta_{u_3}\theta_{u_4} = (\theta_w)^2(\theta_{u_2})^2$ . Indeed since  $(w, u_2) = 0$ , we have

$$\begin{aligned}(v)\theta_{u_3}\theta_{u_4} &= v - (v, w + u_2)(w + u_2) - (v, w - u_2)(w - u_2) \\ &= v - 2(v, w)w - 2(v, u_2)u_2 = (v)(\theta_w)^2(\theta_{u_2})^2\end{aligned}$$

for any vector  $v \in V_{n+1}$ . Therefore  $(\theta_w, \beta)^2 = \phi_{n+1}(W^2) = \phi_{n+1}((A_3 A_4 A_2^{-2})^p)$ . By 2.1,  $W^2 \in \mathbf{H}$  and, since  $W^p = 1$ ,  $W \in \mathbf{H}$ . ■

**3.10 COROLLARY:** (i) If  $a_n = 1$  then  $W \in \mathbf{H}$ .

(ii) If  $a_i = 0$  for  $i < n - 2$  and  $v_q \neq b(\delta_{n-2} + \delta_n)$ , then  $W \in \mathbf{H}$ .

*Proof:* (i) By 3.6,  $W_q \in H_{1,n-1}$ . If also  $k \neq n$  then the last coordinate of  $v_{q+1}$  is also equal to 1 and, by 3.6 and 3.7i,  $W \in \mathbf{H}_{1,n-1}$ , so  $W \in \mathbf{H}$  by 3.9. Suppose  $k = n$ . If  $T_{k,\beta}$  changes the  $n$ -coordinate of  $v_q$  then, by 3.2,  $c(v_{q+1}) > c(v_q)$  contrary to the choice of  $q$ . So suppose  $v_{q+1} = v_q$ . If there exists a reducing coordinate  $a_m$  of  $v_q$  different from  $a_{n-1}$  then, by 3.7i and 3.7iii,  $W = W_{q-1}T_{m,\gamma}T_{n,\beta}T_{m,-\gamma}W_{q-1}^{-1}$ . Now  $T_{m,\gamma}$  cancels reducing the length of  $W$ . So we may assume that  $a_{n-1} = 0$  is the only reducing coordinate of  $v_q$ . But then it follows easily from 3.4 that

$$v_q = b(\delta_r + \delta_{r+2} + \dots + \delta_{n-2}) + \delta_n,$$

$r$  even, and we are done by 3.9.

(ii) If  $v_q \neq b(\delta_{n-2} + \delta_n)$  then  $W_q \in \mathbf{H}_{n-2,n}$  by 3.6. If  $k > n - 3$  then, by 3.6 and 3.7i,  $W_q \in \mathbf{H}_{n-2,n}$  and we are done by 3.9. If  $k < n - 3$  then  $T_k$  commutes with  $W_q$  and  $\ell(W)$  decreases. If  $a_{n-2} = 0$  and  $k = n - 3$  then  $T_k$  commutes with  $W_q$  and  $\ell(W)$  decreases. If  $a_{n-2} \neq 0$  then  $T_{n-3}$  increases  $c(v_q)$ , so  $k \neq n - 3$ . ■

**3.11 LEMMA:** If  $j(i) = n - 1$  for only one value of  $i < q + 1$ , then  $W \in \mathbf{H}$ .

*Proof:* We may assume  $j(1) = n - 1$ . Otherwise  $T_{j(1),\alpha(1)} \in \mathbf{H}$  and we can reduce  $\ell(W)$ . By 3.10 we may assume that  $j(i) = n$  for exactly one value of  $i < q + 1$ . Since  $T_n$  commutes with  $T_{j(i),\alpha(i)}$  for  $i = 2, \dots, q$  we may assume  $j(2) = n$  or  $j(q) = n$  as convenient. We have  $a_{n-1} \neq 0$ . If  $k \neq n - 1$  we let  $j(q) = n$  and we are done by 3.8 and 3.10. So we may assume  $k = n - 1$ .

**CASE 1:**  $a_n = a_{n-2}$ . Then  $v_q = v_{q+1}$  and  $j(q) = n$  so, by 3.8,  $W = W'$  with  $j'(q) = n - 1$  and  $j'(i) < n$  for all  $i \leq q$ . We are done by 3.10.

CASE 2:  $a_n \neq a_{n-2} \neq 0$ . We assume  $j(2) = n$ , so  $j(q) < n$ . If  $j(q) < n - 2$  then the  $j$ -coordinate is reducing for both  $v_q$  and  $v_{q+1}$ . By 3.7i we may assume

$$W = W_{q-1}T_{j,\alpha}T_{k,\beta}T_{j,\gamma}U = W_{q-1}T_{j,\alpha-\gamma}T_{k,\beta}U$$

and  $\ell(W)$  reduces. If  $j(q) = n - 2$  then  $a_{n-2}$  is reducing, so either  $r = n - 2$ , and we are done by 3.9, or  $a_{n-3}$  is passive. In the latter case

$$v_q = \dots + a_{n-4}\delta_{n-4} + a_{n-2}\delta_{n-2} + a_{n-1}\delta_{n-1} + a_n\delta_n$$

where  $a_{n-4} = a_{n-2}$ ;

$$v_{q+1} = \dots + a_{n-4}\delta_{n-4} + a_{n-2}\delta_{n-2} + b_{n-1}\delta_{n-1} + a_n\delta_n.$$

Since  $p > 3$  there exists  $\gamma$  such that  $T_{n-2,\gamma}$  reduces  $v_q$  and  $v_{q+1}$ . Also

$$v'_{q-1} = (v_q)T_{n-2,\gamma} = \dots + a_{n-4}\delta_{n-4} + b_{n-2}\delta_{n-2} + a_{n-1}\delta_{n-1} + a_n\delta_n$$

and we can choose  $\gamma$  such that  $T_{n-1,1/\gamma}$  does not increase  $c(v'_{q-1})$ . We are done by 3.7iv.

CASE 3:  $a_n \neq a_{n-2} = 0$  and  $a_{n-1} = a_{n-3}$ . Then  $a_{n-2}$  is not reducing and we may assume  $j(q) < n$ , so  $j(q) < n - 2$ . If  $j(q) < n - 3$  then  $T_{j(q),-\alpha}$  reduces  $v_{q+1}$  and we are done by 3.7i. If  $j(q) = n - 3$  then  $a_{n-3}$  is reducing, so  $a_{n-4} \neq 0$  and there exists a reducing coordinate  $a_t$  with  $t < n - 3$ , by 3.5ii. Clearly  $a_t$  is reducing in  $v_{q+1}$  and, by 3.7i, we may assume

$$W = W_{q-1}T_{j,\alpha}T_{k,\beta}T_{t,\gamma}U = W_{q-1}T_{j,\alpha}T_{t,\gamma}T_{k,\beta}U.$$

Now  $j(q+1) = t \neq n - 1$ , so we are done by 3.8 and 3.10 as before.

CASE 4:  $a_n \neq a_{n-2} = 0$  and  $a_{n-1} \neq a_{n-3}$ . Now  $a_{n-1}$  is not reducing and  $a_{n-2}$  is reducing, so we may assume  $j(q) = n - 2$  by 3.7ii. We have

$$v_q = \dots + a_{n-3}\delta_{n-3} + a_{n-1}\delta_{n-1} + a_n\delta_n,$$

$$v_{q+1} = \dots + a_{n-3}\delta_{n-3} + b_{n-1}\delta_{n-1} + a_n\delta_n, \quad b_{n-1} \neq a_{n-3}.$$

Since  $p > 3$  there exists  $\gamma$  such that  $T_{n-2,\gamma}$  reduces  $v_q$  and  $v_{q+1}$  and  $T_{n-1,1/\gamma}$  does not increase  $c(v'_{q-1})$ , where

$$v'_{q-1} = (v_q)T_{n-2,\gamma} = \dots + a_{n-3}\delta_{n-3} + b_{n-2}\delta_{n-2} + a_{n-1}\delta_{n-1} + a_n\delta_n,$$

$$(v'_{q-1})T_{n-1,1/\gamma} = \dots + a_{n-3}\delta_{n-3} + b_{n-2}\delta_{n-2} + b_{n-1}\delta_{n-1} + a_n\delta_n,$$

$$b_{n-2} = \gamma(a_{n-1} - a_{n-3}),$$

and

$$b_{n-1} = a_{n-1} + 1/\gamma(a_n - b_{n-2}) = 1/\gamma a_n + a_{n-3}.$$

We are done by 3.7iv. ■

**3.12 LEMMA:** *If for some  $m < n$  we have  $j(i) = m$  for at most one value of  $i \leq q$ , then  $W \in H$ .*

*Proof (by induction on  $m$ , downwards):* If  $m = n - 1$  we are done by 3.11. Suppose that the lemma is true for  $m$  and suppose that  $j(i) = m - 1$  for at most one value of  $i < q + 1$ .

**CASE 1:** There exists  $i_0$  such that  $j(i) < m$  for  $i > i_0$  and  $j(i) \neq m - 1$  for  $i \leq i_0$  (e.g.  $j(i) \neq m - 1$  for all  $i \leq q$  and  $i_0 = q$ , in particular  $m = 1$ ). By R2 we may assume that  $j(i) \geq m$  for  $i \leq s$  and  $j(i) \leq m - 1$  for  $i > s$ . By 3.10 and the induction hypothesis we may assume that  $j(i) = n$  for one value of  $i \leq q$  and, for  $t = m, m + 1, \dots, n - 1$ ,  $j(i) = t$  for two values of  $i \leq q$ . Thus  $c(v_s) = 2(n - m) + 1 = s$  and  $r(v_s) \geq m$ . In Definition 3.2, if  $v$  is not special then  $P < N - 1$ . Thus  $c(v_s) < 2(n - r) + e(v_s) - 1$ . It follows that  $v_s$  is special,  $r(v_s) = m + 1$ , and for  $i > s$ ,  $(v_s)T_{j(i)} = v_s$ . Therefore  $s = q$  and, by 3.4ii,  $j = m$ . Then  $k \neq m$ ,  $v_q = v_{q+1}$  and, by 3.8,  $W = W' \equiv \Pi_{i=1}^s T_{j'(i), \alpha'(i)}$  and  $j'(i) = j$  for at most one value of  $i \leq q$ . We are done by the induction hypothesis.

**CASE 2:**  $j(i_0) = m - 1$  and, for some  $s > i_0$ ,  $j(s) > m - 1$ . By R2 we may assume that  $j = j(q) > m - 1 \geq r$ . If  $v_q = v_{q+1}$  or  $|j - k| > 1$  or  $|j - k| = 1$  and  $r < j < n$  and  $r < k < n$  or  $k = n$  and  $a_n \neq 1$ , or  $k = r$  and the  $r$ -coordinate of  $v_{q+1}$  is not zero, then by 3.8,  $W = W' \equiv \Pi_{i=1}^s T_{j'(i), \alpha'(i)}$  and  $j'(i) = j$  for at most one value of  $i < q + 1$ . Then we are done by the induction hypothesis. We have  $a_n \neq 1$  by 3.10. Let us consider the remaining cases.

$j = r + 1, k = r$ , and the  $r$ -coordinate of  $v_{q+1}$  equals 0. Then  $j = m, m - 1 = r, c(v_q) = q = 2(n - r)$ . Also  $v_{q+1}$  is not special,  $r(v_{q+1}) = r + 1, c(v_{q+1}) = q - 1 = 2(n - r - 1) + 1$ , which is impossible for a non-special vector by 3.2.

$j = n, k = n - 1$ . Consider the highest index  $t < q$  such that  $j(t) > m - 1$ . If  $t < i_0$  we may assume that  $j(i) < m - 1$  for all  $i > i_0$ , by R2, and we are done by Case 1. If  $t > i_0$  and  $j(t) < n - 1$  we may assume, by R2, that  $m - 1 < j(q) < n$

and we are done by 3.8. Let us suppose that  $j(t) = n - 1$ . We may assume by R2 that  $t = q - 1$ . Then  $a_{n-1} \neq 0$ , because  $a_n$  is reducing, and  $a_{n-1}$  is reducing in  $v_{q-1}$ . Therefore  $a_{n-2}$  is passive. Since  $v_q \neq v_{q+1}$  we have  $a_n \neq 0$ , hence  $a_{n-1}$  is reducing in  $v_q$ . So

$$v_q = \dots + a_{n-3}\delta_{n-3} + a_{n-1}\delta_{n-1} + a_n\delta_n$$

with  $a_{n-3} = a_{n-1} \neq 0$  and  $a_n \neq 0$ ,  $a_n \neq 1$ . By 3.7ii we may switch  $j$  and  $k$  and assume  $j = n - 1, k = n$ . Then, by 3.8,  $W = W' \equiv \Pi_{i=1}^s T_{j'(i), \alpha'(i)}$  with  $v'_{q-1} = (v_q)T_{n-1, \gamma}$  and  $v'_q = (v'_{q-1})T_{n, \delta}$ . Now  $c(v'_{q-1}) \geq c(v'_q)$ , by 3.2, and we are done by induction on  $q$ .

This concludes the proof of Proposition 3.1.  $\blacksquare$

#### 4. Proof of Theorem 1

We fix an even number  $n \geq 4$  and assume that  $\phi_{n+1}$  restricted to  $H_{1, n-1}$  is a monomorphism.

**4.1 LEMMA:** Let  $\sigma_n(T_1) = \Gamma_{n-1}, \sigma_n(T_2) = T_n$ . Let  $\tau_n(T_1) = \Gamma_{n-3}, \tau_n(T_2) = T_{n-2}, \tau_n(T_3) = T_{n-1}, \tau_n(T_4) = T_n$ . Then  $\sigma_n$  extends to a homomorphism  $\sigma_n : G_3 \rightarrow G_{n+1}$ , and  $\tau_n$  extends to a homomorphism  $\tau_n : G_5 \rightarrow G_{n+1}$ . Also  $\Gamma_{n-1}$  commutes with  $T_k$  for  $k = 1, 2, \dots, n - 1$ , and

$$(\Gamma_{n-1})^2 = (\Gamma_{n-3}T_{n-2}T_{n-1})^4 \in H_{1, n-1}.$$

*Proof:* By 1.3 and 2.1 we have the following fact, which will be used repeatedly:

(P) Let  $T_j, T_k, W, W_1 \in H_{1, n-1}$  (respectively  $T_j, T_k, W, W_1 \in H_{2, n}$ ). Suppose that  $v = (a\delta_j)W = (b\delta_k)W_1$ . Then  $(T_{j, a^2})^*W = (T_{k, b^2})^*W_1$  (since

$$\theta_v = \phi_{n+1}((T_{j, a^2})^*W) = \phi_{n+1}((T_{k, b^2})^*W_1)).$$

We first prove the lemma for  $n = 4$ . Let

$$U = T_4T_{3, -1}T_{4, -1/2}T_{3, 2}T_{2, -1}T_{3, -2}T_{2, -1}T_{4, -1/2}T_{3, -1}T_4.$$

Then  $(\delta_1)U = e_3, (\delta_2)U = \delta_4, (\delta_3)U = -\delta_3, (\delta_4)U = \delta_2$ . By (P) we have  $(T_2)^*U = T_4, (T_3)^*U = T_3, (T_4)^*U = T_2$ . Also

$$(T_1)^*U = (T_1)^*T_{2, -1}T_{3, -2}T_{2, -1}T_{4, -1/2}T_{3, -1}T_4.$$

We shall prove that  $(T_1)^*U = \Gamma_3$ .

By (P),  $(T_1)^*T_2T_3, -1T_2, -1/2 = (T_1)^*T_{2,2}T_{3, -1/2}T_{2, -1}$  hence

$$\Gamma_3 = (T_1)^*T_{2,2}T_{3, -1/2}T_{2, -1}T_4T_{3,2}T_4.$$

Now, by conjugation, the equality  $\Gamma_3 = (T_1)^*U$  is equivalent to

$$(T_1)^*T_{2,2}T_{3, -1/2}T_4 = (T_1)^*T_{2, -1}T_{3, -2}T_{2, -1}T_{4, -1/2}T_{3, -3}T_2.$$

We have

$$(T_1)^*T_{2, -1}T_{3, -2}T_{2, -1}T_{4, -1/2}T_{3, -3}T_2 =$$

(by R2)

$$(T_1)^*T_{2, -1}T_{3, -2}T_{4, -1/2}T_{2, -1}T_{3, -3}T_2 =$$

(by R1)

$$(T_1)^*T_{2, -1}T_{3, -2}T_{4, -1/2}T_3T_{2, -3}T_{3, -1} =$$

(by R1 and R2 )

$$(T_2)^*T_{3, -2}T_{4, -1/2}T_3T_1T_{2, -3}T_{3, -1} =$$

(by (P))

$$(T_2)^*T_{3, -2}T_{4, -1/2}T_1T_{2, -3}T_{3, -1} =$$

(by R2)

$$(T_2)^*T_{3, -2}T_1T_{2, -3}T_{4, -1/2}T_{3, -1} =$$

(by(P))

$$(T_1)^*T_{2,2}T_3T_{4, -1/2}T_{3, -1} =$$

(by R1 and R2)

$$(T_1)^*T_{2,2}T_{3, -1/2}T_4$$

as required.

So  $\sigma_4$  is a homomorphism and  $\tau_4$  is an identity.

Since  $T_1$  commutes with  $T_3$  and  $T_4$ ,  $\Gamma_3$  commutes with  $T_2$  and  $T_3$ . Also  $(\Gamma_3)^*T_1 = \Gamma_3$  by R1 and (P) so  $\Gamma_3$  commutes with  $T_1$ .

$$(\Gamma_3)^2 = (T_1T_2T_3)^4 \quad \text{by R6.}$$

Let now  $n \geq 6$  and assume  $\sigma_{n-2}$  and  $\tau_{n-2}$  are homomorphisms. Let

$$U_0 =$$

$$T_{n-2}T_{n-3,-1}T_{n-2,-1/2}T_{n-3,2}T_{n-4,-1}T_{n-3,-2}T_{n-4,-1}T_{n-2,-1/2}T_{n-3,-1}T_{n-2},$$

$$U_1 = (T_{n-1})^*T_{n-2}T_{n-3,-1}T_{n-2,-1/2}T_{n-4}T_{n-3,2}T_{n-4}.$$

Let  $W_2 = T_{n-1}T_{n-2}T_{n-3,-1}T_{n-2,-1/2}T_{n-4}T_{n-3,2}$  and  $U_2 = (T_n)^*W_2$ . Let

$$W_3 = T_{n-1}T_{n-2}T_{n-3}T_{n-4,-1}T_{n-3,-1/2}T_{n-2,-1}T_{n-1,-1} \quad \text{and} \quad U_3 = (T_n)^*W_3.$$

Let  $U = U_0U_1U_2U_3$ .

We claim that

$$(\Gamma_{n-5})^*U = \Gamma_{n-3}, (T_{n-4})^*U = T_{n-2}, (T_{n-3})^*U = T_{n-1}, (T_{n-2})^*U = T_n.$$

By direct computation one can check that

$$(\delta_{n-4})U = \delta_{n-2}, (\delta_{n-3})U = \delta_{n-1}, (\delta_{n-2})U = \delta_n,$$

so the last three claims follow from (P).

Also  $(e_{n-5})U_0U_1 = e_{n-3}$  and, since  $U_0U_1 \in \mathbf{H}_{1,n-1}$ , we have, by (P),  $(\Gamma_{n-5})U_0U_1 = \Gamma_{n-3}$ . Furthermore  $W_2, W_3 \in \mathbf{H}_{1,n-1}$  and

$$(e_{n-3})W_2^{-1} = e_{n-5} + (1/2)\delta_{n-3} = (e_{n-5})T_{n-4}T_{n-3,1/2}T_{n-4,-2}$$

so by (P),  $(\Gamma_{n-3})^*W_2^{-1} = (\Gamma_{n-5})^*T_{n-4}T_{n-3,1/2}T_{n-4,-2}$  and  $(\Gamma_{n-3})^*U_2 = \Gamma_{n-3}$  by R2.

$$(e_{n-3})W_3^{-1} = e_{n-5} - (1/2)\delta_{n-4} + \delta_{n-3} = (e_{n-5})T_{n-4,1/2}T_{n-3,2}$$

so by (P)  $(\Gamma_{n-3})^*W_3^{-1} = (\Gamma_{n-5})^*T_{n-4,1/2}T_{n-3,2}$  and  $(\Gamma_{n-3})^*U_3 = \Gamma_{n-3}$  by R2. Thus  $(\Gamma_{n-5})^*U = \Gamma_{n-3}$  as required.

Now composition of  $\tau_{n-2}$  with conjugation by  $U$  extends  $\tau_n$ .

$$\Gamma_{n-3} = (\Gamma_{n-5})^*T_{n-4}T_{n-3,1/2}T_{n-4,-2}T_{n-2}T_{n-3,2}T_{n-2}.$$

Therefore

$$(\Gamma_{n-3})^*U = (\Gamma_{n-3})^*T_{n-2}T_{n-1,1/2}T_{n-2,-2}T_nT_{n-1,2}T_n = \Gamma_{n-1},$$

and composition of  $\sigma_{n-2}$  with conjugation by  $U$  extends  $\sigma_n$ .

Since  $(\Gamma_{n-3})^2 = (\Gamma_{n-5}T_{n-4}T_{n-3})^4$ , by induction hypothesis, we have

$$(\Gamma_{n-1})^2 = (\Gamma_{n-3}T_{n-2}T_{n-1})^4$$

by conjugation by  $U$ . Since  $\Gamma_{n-3}$  commutes with  $T_{n-4}$  and  $T_{n-3}$ ,  $\Gamma_{n-1}$  commutes with  $T_{n-2}$  and  $T_{n-1}$ .

For  $k < n - 2$

$$\begin{aligned} (\Gamma_{n-1})^*T_k &= (\text{by R2}) \quad (\Gamma_{n-3})^*T_{n-2}T_{n-1,1/2}T_{n-2,-2}T_kT_nT_{n-1,2}T_n \\ &= (\text{by (P)}) \quad (\Gamma_{n-3})^*T_{n-2}T_{n-1,1/2}T_{n-2,-2}T_nT_{n-1,2}T_n = \Gamma_{n-1}. \end{aligned}$$

This concludes the proof of Lemma 4.1.  $\blacksquare$

**4.2 COROLLARY:** *There exists a split epimorphism  $\rho_{n+2} : \mathbf{G}_{n+2} \rightarrow \mathbf{G}_{n+1}$  such that  $\rho_{n+2}(T_{n+1}) = \Gamma_{n-1}$  and  $\rho_{n+2}(T_k) = T_k$  for  $k < n + 1$ .*

*Proof:* By Lemma 4.1,  $\rho_{n+2}$  preserves relations R1–R6.

We have to prove that  $\phi_{n+1}$  is an isomorphism. It follows from 3.6 that for every vector  $0 \neq v \in V_n$  there exists  $W \in \mathbf{G}_{n+1}$  such that  $(\delta_n)W = v$ . Then  $\theta_v = \phi_{n+1}((T_n)^*W)$ . It is known that transvections  $\theta_v$  generate  $\mathrm{Sp}(n, p)$ , so  $\phi_{n+1}$  is onto. We shall prove that  $\phi_{n+1}$  is a monomorphism.

Suppose  $A \in \ker \phi_{n+1}$ .  $\mathbf{G}_{n+1}$  is generated by  $T_1, T_2, \dots, T_n$ .  $\Gamma_{n-1}$  is a conjugate of  $T_1$  by an element of  $\mathbf{H}_{2,n}$  so  $\mathbf{G}_{n+1}$  is also generated by  $T_2, \dots, T_n, \Gamma_{n-1}$ . We can write  $A$  as a word in letters  $T_2, \dots, T_n, \Gamma_{n-1}$ :  $A = W(T_2, \dots, T_n, \Gamma_{n-1})$ . Let  $A_1 = W(T_2, \dots, T_n, T_{n+1}) \in \mathbf{G}_{n+2}$ . Let  $\rho_{n+2} : \mathbf{G}_{n+2} \rightarrow \mathbf{G}_{n+1}$  be the epimorphism defined in 4.2. Then  $\rho_{n+2}(T_{n+1}) = \Gamma_{n-1}$ ,  $\rho_{n+2}(A_1) = A$ .  $\blacksquare$

**4.3 LEMMA:**  $A = 1$ .

*Proof:* Consider the commutative diagram of section 2 with  $n$  replaced by  $n + 2$ .

$$\begin{array}{ccccccc} 1 & \rightarrow & \ker \rho_{n+2} & \rightarrow & \mathbf{G}_{n+2} & \xrightarrow{\rho_{n+2}} & \mathbf{G}_{n+1} \rightarrow 1 \\ \phi_n | \ker \rho_{n+2} & & \downarrow & & \phi_{n+2} \downarrow & & \phi_{n+1} \downarrow \\ 1 & \rightarrow & \ker \rho' & \rightarrow & \mathrm{Sp}^\sim(n+1, F) & \xrightarrow{\rho'} & \mathrm{Sp}^\sim(n, F) \rightarrow 1 \end{array}$$

We have  $\phi_{n+1}\rho_{n+2} = \rho'\phi_{n+2}$ . Thus  $\phi_{n+2}(A_1) \in \ker \rho'$ . Therefore  $(\delta_{n+1})A_1 = \delta_{n+1} + ae_{n+1}$  and, since  $A_1 \in \mathbf{H}_{2,n+1}$ , the coefficient of  $\delta_1$  in  $(\delta_{n+1})A_1$  equals zero. So  $(\delta_{n+1})A_1 = \delta_{n+1}$ . Let  $D = T_1T_2 \cdots T_{n+1} \in \mathbf{G}_{n+2}$ .  $(\delta_{n+1})D =$

$\delta_n, D^{-1}H_{2,n+1}D = H_{1,n}$ . In particular  $A_2 = (A_1)^*D \in H_{1,n}$ , and  $(\delta_n)A_2 = \delta_n$ . By Proposition 3.1,  $A_2 \in H$ , hence  $A_1 \in DHD^{-1}$ , which is generated by  $T_2, T_3, \dots, T_{n-1}, DSD^{-1}, T_{n+1}$ , where

$$S = (T_n)^*T_{n-1,-1}T_{n-2,-2}T_{n-1,-1}, \quad DSD^{-1} = (T_{n+1})^*T_{n,-1}T_{n-1,-2}T_{n,-1}.$$

Now  $\rho_{n+2}(T_{n+1}) = \Gamma_{n-1} \in H_{1,n-1}$ , and

$$\begin{aligned} \rho_{n+2}(DSD^{-1}) &= (\Gamma_{n-1})^*T_{n,-1}T_{n-1,-2}T_{n,-1} \\ &= (\Gamma_{n-3})^*T_{n-2}T_{n-1,-1}T_{n-2,-1/2} \in H_{1,n-1}. \end{aligned}$$

Therefore  $\rho_{n+2}(A_1) = A \in H_{1,n-1}$ . But  $\phi_{n+1}$  restricted to  $H_{1,n-1}$  is a monomorphism, hence  $A = 1$ .

This concludes the proof of Theorem 1. ■

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